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| Application Data Sheet 37 CFF |   |                    |                             | Attorney Docket Number                                     |         |            | 38195.79  |            |   |           |
|-------------------------------|---|--------------------|-----------------------------|--|---------|------------|-----------|------------|---|-----------|
| Appi                          | ication Da                              | ta Sileet Si       | CI IX 1.7                   | Applica  | ation N | ion Number |           |            |   |           |
| Title o                       | f Invention                             | LENS MATER         | RIAL, OPTIC                 | OPTICAL ELECTRONIC COMPONENT AND OPTICAL ELECTRONIC DEVICE |         |            |           |            |   |           |
| bibliogra<br>This do          | aphic data arran<br>cument may be       | ged in a format sp | ecified by the onically and | e United States<br>submitted to th                         | Patent  | and Tra    | demark O  | ffice as o | omitted. The following form contains<br>outlined in 37 CFR 1.76.<br>og the Electronic Filing System (EF |           |
| Secre                         | ecy Orde                                | r 37 CFR           | 5.2                         |  |         |            |           |            |   |           |
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| Appli                         | cant Info                               | rmation:           |                             |  |         |            |           |            |   |           |
| Applic                        | cant 1                                  |                    |                             |  |         |            |           |            | Remove  |           |
| Applic                        | cant Authori                            | ty  Inventor       | CLegal                      | Representativ  | e unde  | er 35 L    | J.S.C. 11 | 7          | Party of Interest under 35 U.S  | S.C. 118  |
| Prefix                        | Given Nan                               | ne                 | '                           | Middle Na  | me      |            |           | Famil      | ly Name   | Suffix    |
|                               | Makoto                                  |                    |                             |  |         |            |           | KUMA       | ATORIYA   |           |
| Resid                         | ence Inform                             | nation (Select     | One)                        | US Residency Non US Residency Active US Military Service   |         |            |           |            |   | e         |
| City                          | Otsu-shi                                |                    | Co                          | untry Of Re  | siden   | ıcei       | JP        |            |   |           |
| Citize                        | nship under                             | 37 CFR 1.41(       | <b>b)</b> i JP              | 1  |         | '          |           |            |   |           |
| Mailin                        | g Address o                             | of Applicant:      |                             |  |         |            |           |            |   |           |
| Addre                         | ess 1                                   | c/o Mura           | ata Manufac                 | cturing Co., Lt  | d.,     |            |           |            |   |           |
| Addre                         | ess 2                                   | 10-1 Hig           | gashikotari 1               | 1-chome  |         |            |           |            |   |           |
| City                          | Nagaol                                  | kakyo-shi, Kyoto   | )                           |  |         | State      | e/Provin  | ice        |   |           |
| Posta                         | l Code                                  | 617-855            | 55                          |  | Cou     | ntryi      | JP        |            |   |           |
| Applic                        | cant 2                                  |                    |                             |  |         |            |           |            | Remove  |           |
| Applic                        | cant Authori                            | ty • Inventor      | CLegal                      | Representativ  | e unde  | er 35 L    | J.S.C. 11 | 7          | Party of Interest under 35 U.S  | S.C. 118  |
| Prefix                        |   |                    | _                           | Middle Na  | me      |            |           | Famil      | ly Name   | Suffix    |
|                               | Shinichiro                              |                    |                             |  |         |            |           | CHIKU      |   |           |
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| Mailin                        | g Address o                             | of Applicant:      |                             |  |         |            |           |            |   |           |
| Addre                         | ess 1                                   | c/o Mura           | ata Manufac                 | cturing Co., Lt  | d.,     |            |           |            |   |           |
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| City                          | Nagaol                                  | kakyo-shi, Kyoto   | )                           |  |         | State      | e/Provin  | ıce        |   |           |
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Clegal Representative under 35 U.S.C. 117

**Middle Name** 

Country Of Residence

US Residency

City

Prefix

Applicant 3

**Applicant Authority** • Inventor

Residence Information (Select One)

**Given Name** 

Mikio

Otsu-shi

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| <b>Application Data Sheet 37 CF</b> |  |          |             |                | Attorney Docket Number   |             |             |            | 38195                               | 5.79           |                    |             |  |  |
|-------------------------------------|--|----------|-------------|----------------|--|-------------|-------------|------------|-------------------------------------|----------------|--------------------|-------------|--|--|
| Appi                                | ication b  | ala 3    | iieet 37    | CIN            | Application Number   |             |             |            |                                     |                |                    |             |  |  |
| Title o                             | Title of Invention LENS MATERIAL, OPTICAL ELECTRONIC COMPONENT AND OPTICAL ELECTRONIC DEVICE |          |             |                |  |             |             |            |                                     |                |                    |             |  |  |
| Citize                              | nship und  | er 37 C  | FR 1.41(    | ( <b>b</b> ) i | JP   |             |             |            |                                     |                |                    |             |  |  |
| Mailin                              | g Address  | of Ap    | plicant:    | - L            |  |             |             |            |                                     |                |                    |             |  |  |
| Addre                               | ss 1   |          | c/o Mura    | ata Mai        | nufactur   | ing Co., Lt | d.,         |            |                                     |                |                    |             |  |  |
| Addre                               | ss 2   |          | 10-1 Hiç    | gashiko        | tari 1-ch  | nome        |             |            |                                     |                |                    |             |  |  |
| City                                | Naga   | aokakyo  | -shi, Kyoto | )              |  |             | Sta         | te/Provir  | nce                                 |                |                    |             |  |  |
| Postal Code617-8555CountryiJP       |  |          |             |                |  |             |             |            |                                     |                |                    |             |  |  |
| Applic                              | ant 4  |          |             |                |  |             |             | -          |                                     |                | Remove             |             |  |  |
| Applic                              | ant Autho  | ority 💿  | Inventor    | OL             | egal Rep   | oresentativ | e under 35  | U.S.C. 11  | 7                                   | Party of Inter | est under 35 U.S   | .C. 118     |  |  |
| Prefix                              | Given Na   | ame      |             |                | М  | iddle Na    | me          |            | Famil                               | y Name         |                    | Suffix      |  |  |
|                                     | Takashi  |          |             |                |  |             |             |            | FUJII                               |                |                    |             |  |  |
| Resid                               | ence Info  | rmatio   | n (Select   | One)           | US   | Residenc    | ;y <b>(</b> | on US Re   | sidency                             | O Active U     | S Military Service | e           |  |  |
| City                                | Otsu-shi   |          |             |                | Coun   | try Of Re   | esidencei   | JP         |                                     |                |                    |             |  |  |
| Citize                              | nship und  | er 37 C  | FR 1.41(    | ( <b>b</b> ) i | JP   |             |             | _ <b>!</b> |                                     |                |                    |             |  |  |
| Mailin                              | g Address  | of Ap    | plicant:    |                |  |             |             |            |                                     |                |                    |             |  |  |
| Addre                               | ss 1   |          | c/o Mura    | ata Mai        | nufactur   | ing Co., Lt | d.,         |            |                                     |                |                    |             |  |  |
| Addre                               | ss 2   |          | 10-1 Hiç    | gashiko        | tari 1-ch  | nome        |             |            |                                     |                |                    |             |  |  |
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| Applic                              | ant 5  |          |             |                |  |             |             |            |                                     |                | Remove             |             |  |  |
| Applic                              | ant Autho  | ority 💿  | Inventor    | OL             | egal Re  | oresentativ | e under 35  | U.S.C. 11  | 7                                   | Party of Inter | est under 35 U.S   | .C. 118     |  |  |
| Prefix                              | Given Na   | ame      |             | 1              | М  | iddle Na    | me          |            | Famil                               | y Name         |                    | Suffix      |  |  |
|                                     | Kenji  |          |             |                |  |             |             |            | KITAMURA                            |                |                    |             |  |  |
| Resid                               | lence Info   | rmatio   | n (Select   | One)           | O US   | Residenc    | ;y          | on US Re   | esidency Active US Military Service |                |                    |             |  |  |
| City                                | Tsukuba-s  | shi      |             |                | Coun   | try Of Re   | sidencei    | JP         |                                     |                |                    |             |  |  |
| Citize                              | nship und  | er 37 C  | FR 1.41(    | ( <b>b</b> ) i | JP   |             |             | · !        |                                     |                |                    |             |  |  |
| Mailin                              | g Address  | of Ap    | plicant:    |                |  |             |             |            |                                     |                |                    |             |  |  |
| Addre                               | ss 1   |          | c/o Nati    | onal Ins       | stitute For Material Science                                     |             |             |            |                                     |                |                    |             |  |  |
| Addre                               | ss 2   |          | 2-1, Ser    | ngen 1-        | -chome   |             |             |            |                                     |                |                    |             |  |  |
| City                                | Tsuk   | uba-shi, | , Ibaraki   |                |  |             | Sta         | te/Provir  | псе                                 |                |                    |             |  |  |
| Postal Code 305-0047                |  |          |             |                |  |             | Country     | JP         |                                     |                |                    |             |  |  |
| Applic                              | ant 6  |          |             |                |  |             |             | •          |                                     |                | Remove             |             |  |  |
| Applic                              | ant Autho  | ority 💿  | Inventor    | Ore            | egal Rep   | oresentativ | e under 35  | U.S.C. 11  | 7                                   | Party of Inter | est under 35 U.S   | .C. 118     |  |  |
| Prefix                              | Given Na   | ame      |             |                | М  | iddle Na    | me          |            | Famil                               | y Name         |                    | Suffix      |  |  |
|                                     | Shunji   |          |             |                |  |             |             |            | TAKE                                | KAWA           |                    |             |  |  |
| Resid                               |  |          |             |                | US Residency (a) Non US Residency (b) Active US Military Service |             |             |            |                                     |                |                    |             |  |  |
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| City                                | Tsukuba-s  |          | n (Select   | One)           | $\overline{}$  |             | sy <u> </u> | on US Res  | sidency                             | Active U       | S Military Service | <u> </u>    |  |  |

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| Application Data Sheet 37       |  |            |  | et 37 CFR 1.76  |                            | Attorney Docket Number |        | 38195.79   |            |                                 |        |  |
|---------------------------------|--|------------|--|---|----------------------------|------------------------|--------|------------|------------|---------------------------------|--------|--|
| дриоа                           | ion bat  | 1.70       | Application  |   | Number                     |                        |        |            |            |                                 |        |  |
| Title of Inv                    | ention   | LENS       | MATERIAL,  | IAL, OPTICAL ELECTRONIC COMPONENT AND OPTICAL ELECTRONIC DEVICE |                            |                        |        |            |            |                                 |        |  |
| Mailing A                       | ddress of                                      | f Applic   | cant:  |   |                            |                        |        |            |            |                                 |        |  |
| Address 1                       |  |            | C/O National   | Institute   | For Materia                | al Scien               | ce     |            |            |                                 |        |  |
| Address 2                       | 2  | 2          | 2-1, Sengen  | 1-chome   |                            |                        |        |            |            |                                 |        |  |
| City                            | Tsukuba  | a-shi, Iba | araki  |   |                            |                        | State  | e/Provin   | се         |                                 |        |  |
| Postal Co                       | de   | 3          | 305-0047   |   |                            | Coun                   | ıtryi  | JP         |            | •                               |        |  |
| Applicant 7                     |  |            |  |   |                            |                        |        |            |            |                                 |        |  |
| Applicant                       | Authorit                                       | y • Inv    | ventor _   | Legal Re  | presentativ                | e under                | r 35 U | J.S.C. 117 | 7 (        | Party of Interest under 35 U.S. | C. 118 |  |
| Prefix Gi                       | ven Nam  | е          | •  | M   | liddle Na                  | me                     |        |            | Famil      | y Name                          | Suffix |  |
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| Address 1                       |  |            |  | National Institute For Material Science                         |                            |                        |        |            |            |                                 |        |  |
| Address 2                       | 1  |            | 2-1, Sengen  | 1-cnome   |                            | -                      | C1-1   | (Di        |            | 1                               |        |  |
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| ☐ An A                          | ddress is                                      | being      | provided   | for the c   | orrespor                   | ndence                 | Info   | rmation    | of this    | application.                    |        |  |
| Customer                        | Number   |            | 54067  |   |                            |                        |        |            |            |                                 |        |  |
| Email Address uspto@kbipl       |  |            |  | iplaw.con   | plaw.com                   |                        |        |            |            | Add Email Remove                | Email  |  |
| Application Information:        |  |            |  |   |                            |                        |        |            |            |                                 |        |  |
| Title of the                    | e Inventio                                     | LENS MA    | S MATERIAL, OPTICAL ELECTRONIC COMPONENT AND OPTICAL ELECTRONIC DEVICE |   |                            |                        |        |            |            |                                 |        |  |
| Attorney Docket Number 38195.79 |  |            |  | Small Entity Status Claimed                                     |                            |                        |        |            |            |                                 |        |  |
| Application                     | n Type   | Nonprovis  | Nonprovisional   |   |                            |                        |        |            |            |                                 |        |  |
| Subject M                       | latter   |            | Utility  |   |                            |                        |        |            |            |                                 |        |  |
| Suggeste                        | d Class (i                                     | if any)    |  |   |                            |                        | Sı     | ub Class   | s (if any) |                                 |        |  |
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| Application Da   | ata She               | Sheet 37 CFR 1.76                   |          | Attorney Docket Number   |                       |             | 38195.79            |                            |                              |  |  |
|  |                       |                                     |          | Application Number       |                       |             |                     |                            |                              |  |  |
| Title of Invention   | LENS                  | MATERIAL, OPT                       | ΓICAL I  | ELECTRONIC               | COMPONENT A           | ND OPT      | ICAL ELECTR         | ONI                        | C DEVICE                     |  |  |
| Publication Inform   | nation:               |                                     |          |                          |                       |             |                     |                            |                              |  |  |
| Request Early  | y Publica             | ntion (Fee requi                    | ired at  | time of Requ             | uest 37 CFR 1.2       | 219)        |                     |                            |                              |  |  |
| Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.                   |                       |                                     |          |                          |                       |             |                     |                            |                              |  |  |
| Representative Information:  Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32).  Enter either Customer Number or complete the Representative Name section below. If both sections |                       |                                     |          |                          |                       |             |                     |                            |                              |  |  |
| are completed the C  |                       |                                     |          |                          |                       |             |                     | •                          | n bour docuerno              |  |  |
| Please Select One  | e: (                  | <ul><li>Customer N</li></ul>        | umber    | O USI                    | Patent Practitione    | er 🔘        | US Represe          | ntat                       | ive (37 CFR 11.9)            |  |  |
| Customer Number  | ,                     | 54067                               |          | 1                        |                       |             |                     |                            |                              |  |  |
| This section allows for application data sheet (4), and need not other.  | or the appet constitu | olicant to claim butes the specific | enefit u | ce required by           |                       |             |                     |                            |                              |  |  |
| Prior Application  | Status                | Patented                            |          |                          |                       |             |                     | Ren                        | nove                         |  |  |
| Application<br>Number  | Conf                  | tinuity Type                        | Prid     | or Application<br>Number | Filing Da<br>(YYYY-MM | Patent Numb | er                  | Issue Date<br>(YYYY-MM-DD) |                              |  |  |
|  | a 371 of              | international                       | PCT      | /2004/015046             | 2004-10-13            |             |                     |                            |                              |  |  |
| Additional Domest the <b>Add</b> button.   | tic Priorit           | ty Data may b                       | e gen    | erated within            | this form by s        | electing    |                     | A                          | dd                           |  |  |
| Foreign Priority Information:  |                       |                                     |          |                          |                       |             |                     |                            |                              |  |  |
| This section allows for not claimed. Providin and 37 CFR 1.55(a).  |                       |                                     |          |                          |                       |             |                     |                            |                              |  |  |
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| Application Nu   | mber                  |                                     | ountry   |                          |                       |             |                     |                            | Priority Claimed             |  |  |
| 2003-392870  | Dui11                 | JP                                  |          | 41                       | 2005-11-21            | 41 41       |                     |                            | Yes    No                    |  |  |
| Additional Foreign Add button.   | Priority              | Data may be g                       | jenera   | ted within thi           | is form by selec      | ting the    |                     | A                          | dd                           |  |  |
| Assignee Info  | ormati                | on:                                 |          |                          |                       |             |                     |                            |                              |  |  |
| Providing this inform of the CFR to have a   |                       |                                     |          |                          | ostitute for compli   | ance with   | any requirem        | ent                        | of part 3 of Title 37        |  |  |
| Assignee 1   |                       |                                     |          |                          |                       |             |                     | Ren                        | nove                         |  |  |
| If the Assignee is an Organization check here.   |                       |                                     |          |                          |                       |             |                     |                            |                              |  |  |

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| Application Da   | nta Sheet 37 CFR 1.76  | Attorney Docket Number | 38195.79 |  |  |  |  |  |  |
|--|--|------------------------|----------|--|--|--|--|--|--|
| Application Bu   | tta onect or or it in o  | Application Number     |          |  |  |  |  |  |  |
| Title of Invention LENS MATERIAL, OPTICAL ELECTRONIC COMPONENT AND OPTICAL ELECTRONIC DEVICE |  |                        |          |  |  |  |  |  |  |
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| Organization Name National Institute for Materials Science                                   |                      |                      |          |  |  |  |  |  |  |  |
|--|----------------------|----------------------|----------|--|--|--|--|--|--|--|
| Mailing Address Information:   |                      |                      |          |  |  |  |  |  |  |  |
| Address 1  | 2-1, Sengen 1-chome, | 2-1, Sengen 1-chome, |          |  |  |  |  |  |  |  |
| Address 2  |                      |                      |          |  |  |  |  |  |  |  |
| City   | Tsukuba-shi, Ibaraki | State/Province       |          |  |  |  |  |  |  |  |
| Country i JP   |                      | Postal Code          | 305-0047 |  |  |  |  |  |  |  |
| Phone Number   |                      | Fax Number           |          |  |  |  |  |  |  |  |
| Email Address  |                      |                      |          |  |  |  |  |  |  |  |
| Additional Assignee Data may be generated within this form by selecting the Add button.  Add |                      |                      |          |  |  |  |  |  |  |  |

## Signature:

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|--|-----------------------|-----------|-------------------|---------------------|-------|--|--|--|--|--|
| Signature  | /Joseph R. Keating #3 | 37,368/   | Date (YYYY-MM-DD) | 2006-05-20          |       |  |  |  |  |  |
| First Name   | Joseph                | Last Name | Keating           | Registration Number | 37368 |  |  |  |  |  |

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The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.